



Investment Application Form

All sections must be completed in full using block letters. Please indicate all options selected by means of a cross (x). Any amendments made to the application form must be initialled by the applicant. Before completing, please ensure that this form is blank and no sections have been completed previously. Pages 1-4 of this form must be returned to **Huysamer Capital Investments (Pty) Ltd** at the address details shown at the bottom of this page.

INVESTOR DETAILS – INDIVIDUALS (See page 4 for supporting documentation)

Surname _____

First Names _____ Title _____

I.D. (SA Residents) / Passport Number

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Nationality _____

Income tax number

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 Tax Office _____

Date of Birth

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 Resident Non-Resident S A Emigrant

Postal address _____ Code

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Residential address _____ Code

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Telephone (home) _____ Telephone (business) _____

Facsimile _____ Cellular _____ Email address _____

INVESTOR DETAILS – LEGAL ENTITY (See page 4 for supporting documentation)

Type of investor SA Company Close Corporation Foreign Company Trust Partnership Other _____

Name _____ Registration No.

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Trading name (local and foreign if applicable) _____

Main business activities _____

Income tax number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

 VAT number

--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

Registered address (Head office) _____

Contact person: Title _____ Surname _____ First name(s) _____

Contact's physical address _____

Contact's postal address _____ Code

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Contact's Tel (O) _____ Tel (Cell) _____ Tel (H) _____

Email address _____

Master of High Court address where registered (Trusts only) _____

INVESTMENT DETAILS

I/We hereby apply to invest the following amount/s in the Portfolio(s) noted below, in accordance with the provisions of the relevant Deed of the fund at the fund's price ruling on the date of receipt of the monies by Prescient Management Company Ltd, subject to the Investment Form having been received before 13h00 on any one business day, and subject to receipt of all the relevant documentation.

Please indicate the Rand amount in the column provided. (Minimum R200,000.00)

	Lump Sum Investment	All deposits to be made into:
1. Huysamer Equity Fund	R	Huysamer Capital Investments (Pty) Ltd – Inflow Acc.
2. Huysamer Flexible Fund	R	Bank: Nedbank
3. Huysamer Opportunity Fund	R	Branch: Corporate Client Services
		Branch Code: 145-209
		Account Number: 1452 042 217

Prescient/Huysamer reserves the right to close the Fund in order to manage it more efficiently in accordance with its mandates.

Method of payment Cheque deposit Electronic/Internet transfer

Source of funds (e.g. inheritance, savings, salary, bonus, company profits). [Prescient/Huysamer reserves the right to request documentary proof e.g. income statement, balance sheet, bank statement.] _____

DISTRIBUTION PAYMENT INSTRUCTION

Distribution to be reinvested for the benefit of the investor

Distribution to be paid directly into the account as specified in 'Banking details' below

BANKING DETAILS (for all income/ redemption payment instructions)

The details specified below will be used for all future banking transactions.

Name of the bank _____ Branch Code

Branch name _____ Account number

Type of account Current Transmission Savings

Name of account holder _____

Note: a cancelled cheque or recent bank statement must accompany this application. No payments will be made to third parties.

AUTHORISATION TO ACT ON FAX INSTRUCTIONS

Instructions on unitholders' accounts made via fax will only be accepted if the following authorisation is provided.

I wish the following authorisation to apply Yes No

I/We, the undersigned, hereby authorise the administrator of the Huysamer Equity, Huysamer Flexible and Huysamer Opportunity Funds to act upon instructions by fax with regard to my/our investment without liability in respect of any transfer, payment or other act done in accordance with such instructions and notwithstanding the absence of proof that the same was signed or sent by me/us.

Signature(s) _____

TERMS AND CONDITIONS

1. Unit trusts are medium to long-term investments. The value of units may go down as well as up and past performance is not necessarily a guide to the future. Unit trust prices are calculated on a net asset value basis, which is the total value of all assets in the portfolio including any income accrual and less any permissible deductions from the portfolio. A schedule of fees and charges and maximum commissions is available from the management company. Commission and incentives may be paid and if so, would be included in the overall costs. Forward pricing is used. The funds are valued daily using closing prices. Member of the ACI.
2. Permissible deductions from the total portfolio (referred to in point 1) include bank charges, trustee/custodian fees, audit fees, manager's annual management fee and regional council levies, MST and brokerage.
3. Fees: The Base Fee will be charged at an annual rate of _____% per annum excluding VAT. The fee is calculated daily and deducted monthly from the portfolio's income as per regulation. The Performance Fee component will be an additional _____% per annum for every 1.00% per annum (100 basis points) pro-rata that the Huysamer Equity Fund, Huysamer Flexible Fund and/or the Huysamer Opportunity Fund out-performs the benchmark. This fee will be calculated and accrued for daily in arrears and recovered on a monthly basis if earned. In the event that the Huysamer Equity Fund, Huysamer Flexible Fund or Huysamer Opportunity Fund under-performs the benchmark for any period, no performance fee will be charged, and the under-performance is carried over to the subsequent period and the deficit made up before the Manager becomes eligible for a Performance Fee in the subsequent period.
4. Commissions, incentives, fee reductions or rebates may be earned from discretionary and/or administrative FSP's and/or suppliers of financial products and services. Subject to prior confidentiality undertakings, HCI shall disclose details of such commissions, incentives, fee reductions or rebates to the Client upon written request by the Client.
5. Units are traded at ruling prices, and will be repurchased by the Management Company in accordance with the requirements of the Collective Investment Schemes Control Act and on terms and conditions set forth in the relevant Deed and paid to the unitholder.
6. Investment, redemption and switching instructions received after 13h00 on any business day shall be processed on the following day (excluding weekends and public holidays) at the value of the units on the day that the instruction is processed. Please note that settlement may take up to 48 hours.
7. Retirement fund investors should note that, compared to retirement funds, unit trusts operate under different tax rules. The former are not taxed on gains derived from selling securities. Whilst unit trusts are in practice also not so taxed, they do not enjoy automatic tax exemption.
8. Statements are sent to all unitholders on a quarterly basis, and advices are sent on a transaction basis.
9. The Management Company will only be able to process investments on receipt of funds in the relevant bank account and proof of deposit.
10. Minimum investment amounts may be raised in the future at the discretion of the Management Company and/or Huysamer Capital Investments.
11. In the event that the Investor does not make use of the services of a Financial Adviser, the Investor confirms the following: The Management Company did not provide the Investor with investment advice, and that they cannot do so in terms of current legislation. The Investor acknowledges and accepts that the Management Company will endeavour to provide the Investor with as much information as possible regarding its products and services, and that such information does not constitute investment advice. The Investor further acknowledges and accepts that the Management Company has no responsibility to provide the Investor with information regarding changes to legislation. The Investor understands and accepts that the Investment Manager is solely responsible for the management and performance of the applicable investment and that the Management Company cannot be held accountable for investment decisions. The Investor fully understands the implications hereof and accordingly indemnify the Management Company against any claim for loss or damages which may be suffered, whether direct or indirect, as a result of the fact that no adviser was appointed to assist with this investment or decisions related thereto, or as a result of the fact that no additional information was provided by the Management Company.
12. The Management Company may borrow up to 10% of the market value of the portfolio where insufficient liquidity exists in a portfolio, or where assets cannot be realised to repurchase, or cancel participatory interests.

ACCOUNTABLE INSTITUTIONS

An "Accountable Institution" includes, but is not limited, to the following list of institutions: a Bank, Long-Term Insurer, Management Company registered in terms of the Collective Investment Schemes Control Act, a person who carries on the business of rendering business advice or investment brokering services including a Public Accountant who carries on such a business, member of a stock exchange licensed under the Stock Exchanges Control Act 1985 and a person who has been approved or who falls within a category of persons approved by the Registrar of Stock Exchanges or the Registrar of Financial Markets.

If this section is completed by an "Accountable Institution" acting on behalf of the client, the supporting documentation on page 4 need not be submitted. (Prescient may however request this documentation where it deems necessary.)

I/We acknowledge and confirm that, in my/our capacity as the primary accountable institution with Prescient being the secondary accountable institution, I/we have established and verified the identity of the client in accordance with S21 of the Financial Intelligence Center Act, 2001 ("the Act"), and I/we will keep records of such identification and verification according to the provisions of section 22 of the Act.

Signature _____

Name of Money Laundering Reporting Officer and contact number _____

AUTHORISATION/DECLARATION

I/We warrant that the information contained herein is true and correct, and that, where this application is signed in a representative capacity, I/we have the necessary authority to do so and that this transaction is within my/our power. I/We understand that this application, read with the Deed, constitutes the entire agreement between Prescient and myself/ourselves. I/We understand the Terms and Conditions as above. I/We herewith confirm that I/we have received the disclosure information, as required by Section 3 of the Collective Investment Schemes Control Act, Act 45 of 2002, from Prescient prior to completing this application form.

Name(s) _____

Signature(s) _____ Date ____/____/____

FINANCIAL INTELLIGENCE CENTRE ACT REQUIREMENTS

In terms of the Financial Intelligence Centre Act 38 of 2001, and the regulations thereto, Huysamer Capital Investments is compelled to identify and verify the identity of its clients. **The Persons listed below must attach the documentation set out in this annexure to their completed application form.**

Prescient will only place investments once all the supporting documentation listed below has been supplied.

1. NATURAL PERSONS (Individuals)

1.1 SA Citizen/Resident

- 1.1.1 Copy of ID document containing a photo, full names, date of birth & ID number (A passport/driver's license containing the above information will only be accepted with written reasons for the unavailability of the ID document).
- 1.1.2 A document(s) less than 3 months old containing residential address – such as a utility bill, Telkom account, bank statement, municipal rates or tax invoice.

1.2 Foreign Nationals

- 1.2.1 Passport containing a photo, full names, date of birth & ID number.
- 1.2.2 A cancelled cheque or recent bank statement (less than 3 months old).

1.3 Legal Incapacity / 3rd party representing another individual

- 1.3.1 Documents set out above i.r.o both parties (1.1.1 or 1.2.1).
- 1.3.2 Proof of authority to act (i.e.) power of attorney, mandate, resolution, court order.

2. LEGAL PERSONS (Institutions)

2.1 SA Companies

- 2.1.1 Copies of Certificate of Incorporation (CM1) and Notice of Registered Office and Postal Address (CM22) containing Registrar's stamp and signed by the Company Secretary.
- 2.1.2 A document(s) less than 3 months old containing trade name and business address – such as a utility bill, Telkom account, bank statement, municipality rates or tax invoice.
- 2.1.3 Where any shareholder holds 25% or more of the voting rights at a general meeting of the company then if the shareholder is an individual provide all the documents as per 1.1 or 1.2. If the shareholder is a legal person then provide all the documents as per 2.1 or 2.2 or 2.3 or 2.4 or 2.5 or 2.6.
- 2.1.4 Proof of authority to act for company (i.e.) Director's resolution.

2.2 SA Close Corporations

- 2.2.1 Founding Statement and Certificate of Incorporation (CK1) and Amended Founding Statement (CK2) if applicable, containing Registrar's stamp and signed by an authorised representative/employee.
- 2.2.2 A document(s) less than 3 months old containing trade name and business address – such as a utility bill, Telkom account, bank statement or municipality rates or tax invoice.
- 2.2.3 ID document / passport as set out in 1.1.1 and 1.2.1 respectively i.r.o each member and all authorised representatives.
- 2.2.4 Proof of authority to act for close corporation (i.e.) member's resolution.
- 2.2.5 Where any member holds 25% and more of the voting rights at a general meeting of the close corporation then the member must provide all the documents as per 1.1 or 1.2.

2.3 Foreign Companies

- 2.3.1 Official document from foreign regulator witnessing incorporation, bearing the name, number and address.
- 2.3.2 A document(s) less than 3 months old containing SA and foreign trade names and business addresses (i.e.) utility bill, Telkom account, bank statement, municipality rates and tax invoice.
- 2.3.3 Where any shareholder holds 25% or more of the voting rights at a general meeting of the company then if the shareholder is an individual provide all the documents as per 1.1 or 1.2. If the shareholder is a legal person then provide all the documents as per 2.1 or 2.2 or 2.3 or 2.4 or 2.5 or 2.6.
- 2.3.4 Proof of authority to act for company (i.e.) Director's resolution.

2.4 Other Legal Persons (i.e. club, association, body corporate, retirement fund)

- 2.4.1 The constitution or other founding document in terms of which legal person is created.
- 2.4.2 ID document / passport as set out in 1.1.1 and 1.2.1 respectively i.r.o. all authorised representatives.
- 2.4.3 Proof of authority to act for legal person.

2.5 Partnerships

- 2.5.1 Partnership agreement.
- 2.5.2 ID document / passport as set out in 1.1.1 and 1.2.1 respectively, i.r.o all partners/all authorised representatives/persons exercising executive control.
- 2.5.3 Proof of authority to act for partnership.
- 2.5.4 Applicable documents referred to in 2.1.1 / 2.1.2 or 2.2.1 / 2.2.2 or 2.3.1 / 2.3.2 or 2.4.1 or 2.6.1 i.r.o any institutional partners or an institution exercising executive control.

2.6 Trusts

- 2.6.1 The main deed or other founding document (i.e. a will)
- 2.6.2 Letters of authority from Master (SA trust) or foreign regulator (foreign trusts) to trustees.
- 2.6.3 ID document / passport as set out in 1.1.1 and 1.2.1 respectively i.r.o an individual founder of the trust, each individual trustee, each individual beneficiary, all authorised representatives, or details of how beneficiaries are determined if not mentioned by name.
- 2.6.4 A death certificate or certificate of deregistration where founder died or ceased to exist.
- 2.6.5 Applicable documents referred to in 2.1.1 / 2.1.2 or 2.2.1 / 2.2.2 or 2.3.1 / 2.3.2 or 2.4.1 or 2.6.1 i.r.o any institutional beneficiaries, any institutional trustees or institutional founder of the trust, or details of how beneficiaries are determined if not mentioned by name.